

09/786740 #4

**BAKER BOTTS** LLPPlease type a plus sign (+) inside this box → ☒**TRANSMITTAL  
FORM**

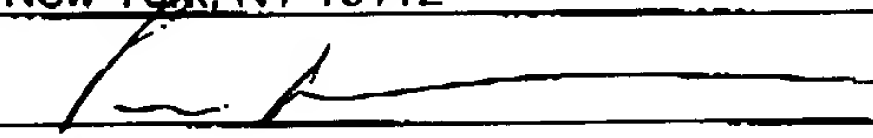
(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	09/786,740	
	Filing Date	March 8, 2001	
	First Named Inventor	Robert Arthur Sawhill, Jr.	
	Group Art Unit	To Be Assigned	
	Examiner Name		
Total Number of Pages in This Submission		Attorney Docket Number	A34089 PCT USA 069354.0101

**ENCLOSURES (check all that apply)**

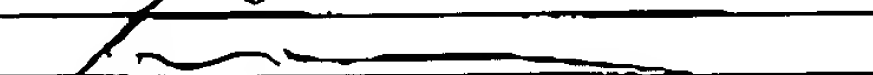
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input checked="" type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  Combined Declaration and Power of Attorney; Petition Under 1.47(a); Declaration of Robert Sawhill under 1.47(a)
Remarks <input type="checkbox"/>		

**RECEIVED**  
07 JAN 2002  
Legal Staff  
International Division**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	BakerBotts LLP 30 Rockefeller Plaza New York, NY 10112		
Signature		Att Name:	Paul A. Ragusa
		PTO Reg:	38,587
Date	10-23-01		


**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 10-23-01

Typed or printed name	Paul A. Ragusa		
Signature		Date	10-23-01

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**BAKER BOTTS** LLP

<b>PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)</b>		Docket Number (Optional) A34089 PCT USA 06935										
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td colspan="2" style="padding: 2px;">In re Application of Robert Arthur Sawhill, Jr.</td> </tr> <tr> <td style="padding: 2px;">Application Number 09/786,740</td> <td style="padding: 2px;">Filed March 8, 2001</td> </tr> <tr> <td colspan="2" style="padding: 2px;">For INTERFACE DEVICE BETWEEN * see attached</td> </tr> <tr> <td style="padding: 2px;">Group Art Unit To Be Assigned</td> <td style="padding: 2px;">Examiner</td> </tr> </table>			In re Application of Robert Arthur Sawhill, Jr.		Application Number 09/786,740	Filed March 8, 2001	For INTERFACE DEVICE BETWEEN * see attached		Group Art Unit To Be Assigned	Examiner		
In re Application of Robert Arthur Sawhill, Jr.												
Application Number 09/786,740	Filed March 8, 2001											
For INTERFACE DEVICE BETWEEN * see attached												
Group Art Unit To Be Assigned	Examiner											
<p>This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above identified application.</p> <p>The requested extension and appropriate non-small-entity fee are as follows (check time period desired):</p> <table style="width: 100%;"> <tr> <td><input type="checkbox"/> One month (37 CFR 1.17(a)(1))</td> <td style="text-align: right;">\$ _____</td> </tr> <tr> <td><input type="checkbox"/> Two months (37 CFR 1.17(a)(2))</td> <td style="text-align: right;">\$ _____</td> </tr> <tr> <td><input type="checkbox"/> Three months (37 CFR 1.17(a)(3))</td> <td style="text-align: right;">\$ _____</td> </tr> <tr> <td><input checked="" type="checkbox"/> Four months (37 CFR 1.17(a)(4))</td> <td style="text-align: right;">\$ 1,440</td> </tr> <tr> <td><input type="checkbox"/> Five months (37 CFR 1.17(a)(5))</td> <td style="text-align: right;">\$ _____</td> </tr> </table> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee amount shown above is reduced by one-half, and the resulting fee is: \$ _____.</p> <p><input type="checkbox"/> A check in the amount of the fee is enclosed.</p> <p><input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.</p> <p><input type="checkbox"/> The Commissioner has already been authorized to charge fees in this application to a Deposit Account.</p> <p><input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number 02-4377.</p> <p>I have enclosed a duplicate copy of this sheet.</p> <p>I am the <input type="checkbox"/> applicant/inventor</p> <p style="margin-left: 40px;"><input type="checkbox"/> assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).</p> <p style="margin-left: 40px;"><input type="checkbox"/> attorney or agent of record.</p> <p style="margin-left: 40px;"><input checked="" type="checkbox"/> attorney or agent under 37 CFR 1.34(a). Registration number if acting under 37 CFR 1.34(a) 38,587</p> <p><b>WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</b></p> <div style="display: flex; justify-content: space-between; margin-top: 20px;"> <div style="text-align: center;"> <p><u>10/22/01</u></p> <p>Date</p> <p>PTO Reg No.: 38,587</p> </div> <div style="text-align: center;"> <p></p> <p>Signature</p> <p>Paul A. Ragusa</p> <p>Typed or printed name</p> </div> </div> <p><small>NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.</small></p>			<input type="checkbox"/> One month (37 CFR 1.17(a)(1))	\$ _____	<input type="checkbox"/> Two months (37 CFR 1.17(a)(2))	\$ _____	<input type="checkbox"/> Three months (37 CFR 1.17(a)(3))	\$ _____	<input checked="" type="checkbox"/> Four months (37 CFR 1.17(a)(4))	\$ 1,440	<input type="checkbox"/> Five months (37 CFR 1.17(a)(5))	\$ _____
<input type="checkbox"/> One month (37 CFR 1.17(a)(1))	\$ _____											
<input type="checkbox"/> Two months (37 CFR 1.17(a)(2))	\$ _____											
<input type="checkbox"/> Three months (37 CFR 1.17(a)(3))	\$ _____											
<input checked="" type="checkbox"/> Four months (37 CFR 1.17(a)(4))	\$ 1,440											
<input type="checkbox"/> Five months (37 CFR 1.17(a)(5))	\$ _____											
<input type="checkbox"/> Total of _____ forms are submitted.												

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**BAKER BOTTS** LLP

Attorney Docket Number: A34089 PCT USA 069354.0101

Title: INTERFACE DEVICE BETWEEN TESTNG EQUIPMENT AND INTEGRATED CIRCUIT

Use Space Below for Additional Information:

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**BAKER BOTTS LLP****FEE TRANSMITTAL  
for FY 2001***Patent fees are subject to annual revision.***TOTAL AMOUNT OF PAYMENT****(\$ 1,610****Complete If Known**

Application Number	09/786,740
Filing Date	March 8, 2001
First Named Inventor	Robert Arthur Sawhill, Jr.
Examiner Name	
Group Art Unit	To Be Assigned
Attorney Docket No.	A34089 PCT USA 069354.0101

**METHOD OF PAYMENT**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

02-4377

Deposit  
Account  
Name

Baker Botts LLP

- ☒ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

- ☐ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☒ Payment Enclosed: **\$130.00**

- ☒ Check ☐ Credit card ☐ Money  
Order ☐ Other

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

Fee

Fee

Fee Description

Fee Paid

740	370	Utility filing fee	
330	165	Design filing fee	
510	255	Plant filing fee	
740	370	Reissue filing fee	
160	80	Provisional filing fee	

**SUBTOTAL (1) (\$ 0****2. EXTRA CLAIM FEES**

Total Claims		Extra Claims		Fee from below		Fee Paid
Independent		20 --	= 0	X		= 0
Claims		3 --	= 0	X		= 0
Multiple Dependent						

Large Entity Small Entity

Fee

Fee

Fee Description

18	9	Claims in excess of 20
84	42	Independent claims in excess of 3
280	140	Multiple dependent claim, if not paid
84	42	** Reissue independent claims over original patent
18	9	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) (\$ 0***\*\*or number previously paid, if greater; For Reissues, see above***FEE CALCULATION (continued)****3. ADDITIONAL FEES**Large  
EntitySmall  
EntityFee  
(\$)Fee  
(\$)

Fee Description

Fee Paid

130	65	Surcharge - late filing fee or oath	
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	For filing a request for <i>ex parte</i> reexamination	
920*	920*	Requesting publication of SIR prior to Examiner action	
1,840*	1,840*	Requesting publication of SIR after Examiner action	
110	55	Extension for reply within first month	
400	200	Extension for reply within second month	
920	460	Extension for reply within third month	
1,440	720	Extension for reply within fourth month	1,440
1,980	980	Extension for reply within fifth month	
320	180	Notice of Appeal	
320	180	Filing a brief in support of an appeal	
280	140	Request for oral hearing	
1,510	1,510	Petition to institute a public use proceeding	
110	55	Petition to revive - unavoidable	
1,280	640	Petition to revive - unintentional	
1,280	640	Utility issue fee (or reissue)	
460	230	Design issue fee	
620	310	Plant issue fee	
130	130	Petitions to the Commissioner	130
50	50	Processing fee under 37 CFR 1.17(q)	
180	180	Submission of Information Disclosure Stmt	
40	40	Recording each patent assignment per property (times number of properties)	40
740	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
740	370	For each additional invention to be examined (37 CFR § 1.129(b))	
740	370	Request for Continued Examination (RCE)	
900	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

*\*Reduced by Basic Filing Fee Paid***SUBTOTAL (3) (\$ 1,610****SUBMITTED BY**

Name (Print/Type)

Paul A. Ragusa

Registration No.  
(Attorney/Agent)

38,587

**Complete (if applicable)**

Telephone

Date

10-23-01

Signature

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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EXPRESS MAIL LABEL NO. EF321680871US

A34089 PCT USA - 069354.0101  
PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Robert Arthur Sawhill, Jr.  
Serial No. : 09/786,740  
Filed : March 8, 2001  
For : INTERFACE DEVICE BETWEEN TESTING  
EQUIPMENT AND INTEGRATED CIRCUIT

**PETITION**  
**PURSUANT TO 37 C.F.R. §1.47(a)**

I hereby certify that on the date set forth below that this paper is being deposited with the United States Postal Service "Express Mail Post Office To Addressee Service" in an envelope with sufficient postage addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on:

October 23, 2001  
Date of Deposit

Paul A. Ragusa  
Attorney Name

38,587  
PTO Reg. No.

  
Signature

October 23, 2001  
Date of Signature

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notification of Missing Requirements under 35 U.S.C. 371 in the United States Designated/Elected Office mailed April 23, 2001, Applicant Robert A. Sawhill hereby petitions to file the above-identified Application No. 09/786,740 on behalf of himself and on behalf of joint inventor Mr. Paren Shah, who cannot be found. A Combined Declaration and Power of Attorney, appended hereto, has been signed by

NY02:353066.1

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A34089 PCT USA - 069354.0101  
PATENT

Mr. Sawhill, who is the only available joint inventor, on behalf of himself and Mr. Shah.

The signature block of Mr. Shah has been left blank.

This Petition is accompanied by a Declaration of Robert A. Sawhill under 37 C.F.R. §1.47(a). The Declaration of Mr. Sawhill establishes that Mr. Sawhill has diligently attempted to contact Mr. Paren Shah in order to provide him with a copy of the present application and with a copy of the attached Combined Declaration and Power of Attorney for his signature. In particular, Mr. Sawhill has been unable to locate Mr. Shah because Mr. Shah is no longer employed with Spire Technologies and has not been in contact with any personnel of Spire Technologies. Mr. Sawhill heard from others in the field that Mr. Shah is presently located in California, but was unable to locate a precise address or other contact information for him. Therefore, on October 5, 2001 Mr. Sawhill sent a copy of the Combined Declaration and Power of Attorney and a letter requesting Mr. Shah's signature to Mr. Shah's last known address, 10 Rose Lane #01-01, Singapore 429076, via registered mail. The envelope was returned to Mr. Sawhill marked "UNDELIVERABLE."

Applicant Sawhill respectfully requests that this Petition be granted to file this application on behalf of Mr. Sawhill and Mr. Shah. This Petition is accompanied by a check in the amount of \$130.00 as required under 37 CFR § 1.47(a). The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication to Deposit Account No. 02-4377. A duplicate copy of this sheet is enclosed.

NY02:353066.1

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(FRI) 12. 14' 01 12 /ST. 12:54/NO. 4860225116 P 9

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A34089 PCT USA - 069354.0101  
PATENT

Respectfully submitted,

Dated: October 23, 2001

  
\_\_\_\_\_  
Ronald B. Hildreth  
Patent Office Reg. No. 19,498

Paul A. Ragusa  
Patent Office Reg. No. 38,587

BAKER BOTTS L.L.P.  
30 Rockefeller Plaza  
New York, New York 10112-0228

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FAX 212.705.5020  
www.bakerbotts.com

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TO **Tamala D. Holland (Box PCT)**

FAX NO. (703) 305-3230

FIRM/COMPANY **United States Patent & Trademark Law Office**

VOICE NO. (703) 305-5483

FROM **Paul Ragusa**

VOICE NO. 212.408.2588

DATE **December 14, 2001**

**Andrea**

PERSONAL FAX NO. 212.705.5020

PAGES (including cover) **9**

**MESSAGE**

*Handwritten:*  
L. C.  
Holland  
Box PCT  
Dec 14, 2001  
A. Ragusa

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